

Hindustan College of Science and Technology
Farah-Mathura
(AICTE approved & affiliated to AKTU)



3.2.1

Research Policy

SHADA GROUP OF INSTITUTIONS

04/04/2022

CIRCULAR

(Revised incentive/financial Support Policy for research publications, patent rights acquisitions, paid FDP/MDP)

The matters of granting financial incentive/support for acquisition of the patent rights and for fixing the share of incentive for paid consultancy projects or revenues earned from paid FDP/MDP programmes, was discussed with the Vice-Chairman in the meeting held on 26th March 2022, at H.O. In this regard your attention is drawn to the incentive policy/financial support and rewards policy in these regards, at present in force, as approved by the Vice-Chairman earlier on 02-04-2019, upon the proposals put forward by the then Executive Director Dr. D.G. Roychowdhary and EVP, Prof. V.K. Sharma. The same policy now, with revisions, incorporating, changes approved by Vice-Chairman in the aforesaid meeting, in the acquisition the of IPR (Patent rights), Sharing of Consultancy revenues and revenue from paid revenue-generating FDP/MDPs, conducted (as suitably amended), are enclosed herein below. **Annexure - 1** is the revised amended policy and **Annexure- 2** is the breakup slabs of patent rights financial support to be offered with effect from 1st April 2022.

This amended order will be applicable and supersedes the earlier order. Any recent pending cases under consideration dating before of 31st March 2022 also can be covered at the discretion of the Directors.

V.K. Sharma

Prof. V.K.Sharma
Executive Vice President

To, All Directors HCST/HIMCS/AEC/ACP/ACE (circulate to All)
All Dean Academics "
Dean R& D "
General Manager (F&A)
A.P.O . Kaushik/Mr. Vaibhav

c.c.: Vice-Chairman for information


Director
**Hindustan College of
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FARAH (MATHURA)

Annexure – I

INCENTIVES/FINANCIAL SUPPORT TO FACULTY FOR RESEARCH PUBLICATIONS PATENT RIGHTS ACQUISITIONS, REVENUE SHARING FROM PAID CONSULTANCY OR PAID FDP/MDPs.

Following incentive scheme will be followed from this academic year 2022-23 (beginning 1st April 2022)

Paper publication- Journals

- Scopus/Thomson Reuter/SCI indexed int. = Rs. 10,000/- Payable as incentive being the 1st author
- Refereed International Journal = Rs. 5,000/- Payable as incentive being the 1st author
- Refereed National Journal = Rs. 2,000/- Payable as incentive being the 1st author
- No incentive for paid Journals and local conferences (non-refereed)

For IInd & IIIrd Authorship the amount will be proportionately reduced.

CONFERENCE – PUBLICATION

- Reimbursement of Registration fee, TA, DA as per actuals (as per norms of SGI) for presenting paper in Refereed conference (at IIT/sponsored by IEEE etc. only or of comparable level). Upto a maxm. of two times in an academic year.
- Refereed (IIT/Sponsored by IEEE etc.) or comparable = Rs. 3,000/-

Detail break-up in Annexure- II herewith enclosed.

PATENT-GRANTED (TOTAL FINANCIAL SUPPORT)

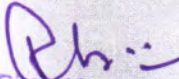
- National Patents = Rs. 20,000/-
- International Patents of reputed Agencies (state approved) only. = Rs. 50,000/-

INCENTIVES FOR RESEARCH PROJECT

- Investigators = 30% of Institute overhead

INCENTIVES FOR CONSULTANCY

- Investigators (including technical and other staff)
 - Without use of Institute facilities = 80% of amount left after deducting all expenses, balance 20% payable to College
 - With use of Institute facilities = 60% of amount left after deducting all expenses; balance 40% payable to college for Infrastructure/facilities support etc.


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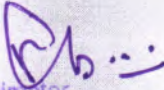
INCENTIVES FOR FDP/MDP

- Coordinators/Faculty = 60% of amount to be distributed amongst Coordinator Faculty/resource persons left after deducting all expenses, balance to the college
- Participation of SGI College Faculty/Staff will be free of charges.
- The distribution has to be based on a pre-agreed ratio of say 2:1:1 or as decided by Director/Chief Coordinator.

INCENTIVES FOR SKILL DEVELOPMENT

- Coordinator/Assistant/Accountant In Skill Development Cell = 20% of amount left (2:1:1)
- Department Coordinator/Faculty/Lab Technician = 40 % (1:2:1 for level 5 & above)
(1:1:2 for level 4 & above)

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NOTE:

1. The financial grant/support is subject to the faculty/staff being the first patent right holder and specifically mentions the designation and name of college in patent application.
For IInd or IIIrd right holders the amount will be progressively reduced. Filing charges remain full, but financial support may be suitably reduced.)
2. In the event of patent rights being commercialized/or monetized, the revenue so earned will be shared between the holder and college in the ration of 75:25; and an undertaking to this effect has to be furnished by the patent holder before claiming financial support as above.

Pb. :-

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Annexure – II

PATENT RIGHTS: BREAKUP OF FINANCIAL SUPPORT

National Level:

- At the time of filing the patent
 - Patent filing fee as applicable/ prescribed by patent office
- Financial Support for expenses incurred by way of consultancy/ Other related expenses to be reimbursed.
- At the time of Publication or grant of patent
 - Official fee applicable (if any)
 - Financial support for expenses incurred by way of Consultancy/other related expenses, reimbursement

= Total amount actual (Subject to a Max. 5000/-)

= Rs. 7,500/- (Max. Amt.)
(as per actual, if the actual expenses is in excess of the same, it is to be borne by the faculty).

= Total Amount actual (Subject to a max of Rs. 5000/-)

= 7500/- (as per actuals, if the actual expenses is in excess of 7500/- the same has to be borne by the Faculty)

Total cap = Rs. 20,000/- (Any balance amount can be paid up to 20,000/- upper cap)

International patent obtained from a renowned/established body :

- At the time of filing the patent
 - Patent filing fee as applicable/ prescribed by patent office
- Financial Support for expense incurred by way of consultancy/ Other related expenses to be reimbursed.
- At the time of Publication or grant of patent
 - Official fee applicable (if any)
 - Financial support for expenses incurred by way of Consultancy/other related expenses, reimbursement

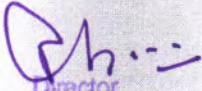
= Total Amount actual (Subject to a Max. 10,000/-)

= Rs. 15,000/- (Max. Amt.)
(As per actual, if the actual expense is in excess of the same, it is to be borne by the faculty).

= Total amount (Subject to a max of Rs. 10,000/-)

= 15,000/- (As per actual; if the actual expenses excess of the same, it is to be borne by the faculty)

Total cap = Rs. 50,000/-


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Dt. 01 Nov. 2022

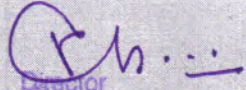
It has been brought to the notice of the undersigned that there are several good international journals which demand some money charges for providing access/ reference to the published paper from the authors or there could be one time lifelong charges for the same purpose post publication. It has been clarified that such charges are not in any way the charges for publication of the papers by the author in the said journal.

In view of our incentives policy for research paper publications which specifically "debars" payment of incentive for paper publication in a "paid journal", i.e. a journal that charges money for publication of the paper; it is clarified that in cases such as cited above, the journal charging money for citations / referrals post publication as indicated above, the paper published will be eligible for grant of incentive from the College as per established norms and practices and the "debarring clause" shall not be applicable in such cases, as it is not deemed to be in the same category as "paid journals" (the charges not being in the category of "payment for publication" of the paper).

This is notified for the knowledge of all concerned and to avoid unnecessary confusions in this regard.

V.K. Sharma
1/11/22
(Prof. V.K. Sharma)
Executive Vice President, SGI

1. All Directors / Deans (to kindly forward to all HoDs/ Faculty)
2. Dean R&D, Dr. M.S. Gaur.


Director
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